



ISSN: 0092-7872 (Print) 1532-4125 (Online) Journal homepage: https://www.tandfonline.com/loi/lagb20

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To cite this article: Nicola Mazzari (2019): The Fontaine–Ogus realization of Laumon 1-motives, Communications in Algebra, DOI: 10.1080/00927872.2019.1640242

To link to this article: https://doi.org/10.1080/00927872.2019.1640242



Published online: 22 Jul 2019.



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ABSTRACT

We construct the (filtered) Ogus realization of Laumon 1-motives over a number field. This realization extends the functor defined on Deligne 1-motives by Andreatta, Barbieri-Viale and Bertapelle.

ARTICLE HISTORY

Received 3 May 2019 Revised 15 July 2019 Communicated by J. L. Gomez Pardo

KEYWORDS AND PHRASES Laumon 1-motives; Ogus realization

2010 MATHEMATICS SUBJECT CLASSIFICATION 14F30; 14L15; 14F40

1. Introduction

Let *K* be a number field and let $\mathbf{FOg}(K)$ be the \mathbb{Q} -linear abelian category of filtered Ogus structures over *K* defined in [1, § 1.3]. The main result of [1] is the existence a realization functor $T_{\mathbf{FOg}} : \mathcal{M}_{1,\mathbb{Q}}(K) \to \mathbf{FOg}(K)$ from the category of Deligne 1-motives over *K* up to isogeny. Moreover this functor is fully faithful.

In the present article we extend the above realization T_{FOg} to the category $\mathcal{M}_{1,\mathbb{Q}}^{a}(K)$ of Laumon 1-motives over K up to isogeny.

First we prove that T_{FOg} factors through a finer category MFOg(K) obtained by enriching FOg(K) with the Hodge filtration. We also require the admissibility condition used by Fontaine defining the category MF_{K}^{ad} of admissible filtered ϕ -modules [9]. For this reason we call MFOg(K) the category of Fontaine–Ogus structures over K. More precisely T_{FOg} factors through the full subcategory $MFOg_{1}(K) \subset MFOg(K)$ of Fontaine–Ogus modules of level ≤ 1 (see § 3.2).

Then we define the category $\mathbf{MFOg}_{(1)}^{a}(K)$, which is analogous to the category considered in [4], containing $\mathbf{MFOg}_{(1)}(K)$ as a full subcategory. Now we can state the main result of this article.

Theorem 1.1. There exists a is fully faithful realization functor

$$T^a: \mathcal{M}^a_{1,\mathbb{Q}}(K) \to \mathbf{MFOg}^a_{(1)}(K)$$

extending T_{FOg} .

Indeed it is possible to extend T_{FOg} to Laumon 1-motives just adding some extra vector spaces to the definition of FOg. The introduction of MFOg(K) and $MFOg^a(K)$ is necessary in order to preserve fully faithfulness.

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The definition of $\mathbf{MFOg}_{(1)}^{a}(K)$ and the full faithfulness depend on results about the category of Laumon 1-motives in § 2.3: we express the latter category as an iterated fiber product of categories. There is another important ingredient in the definition of $\mathbf{MFOg}_{(1)}^{a}(K)$, which is necessary to make the functor full: it depends on the *section* γ_{M} described in Remark 4.3.

Further, in Corollary of § 2.3 we find a shorter proof of the fact that the cohomological dimension of Laumon 1-motives is 1 (cf. [10]).

2. 1-Motives

2.1. Laumon 1-motives

Let K be a (fixed) field of characteristic zero (later it will be a number field). Let Ab_K be the category of sheaves of abelian groups on the category of affine K-schemes endowed with the fppf topology. We will consider both the category of commutative K-group schemes and that of formal K-group schemes as full subcategories of Ab_K .

A Laumon 1-motive over K (or an effective free 1-motive over K, cf. [3, 1.4.1]) is the data of

- (1) A (commutative) formal group *F* over *K*, such that Lie *F* is a finite dimensional *K*-vector space and $F(\bar{K}) = \lim_{[K':K] < \infty} F(K')$ is a finitely generated and torsion-free $\operatorname{Gal}(\bar{K}/K)$ -module.
- (2) A connected commutative algebraic group scheme G over K.
- (3) A morphism $u: F \to G$ in the category Ab_K .

Remark 2.1.

- (1) It is known that any formal K-group F splits canonically as product $F^{\circ} \times F_{\text{\acute{e}t}}$ where F° is the identity component of F and is a connected formal K-group, and $F_{\text{\acute{e}t}} = F/F^{\circ}$ is étale. Moreover, $F_{\text{\acute{e}t}}$ admits a maximal sub-group scheme F_{tor} , étale and finite, such that the quotient $F_{\text{\acute{e}t}}/F_{\text{tor}} = F_{\text{fr}}$ is étale-locally constant of the type \mathbb{Z}^r . One says that F is torsion-free if $F_{\text{tor}} = 0$.
- (2) By a theorem of Chevalley any connected algebraic group scheme G is the extension of an abelian variety A by a linear K-group scheme L that is product of its maximal sub-torus T with a vector K-group scheme V. We denote by $G_{\times} = G/V$ the semi-abelian quotient of G. (See [8] for more details on algebraic and formal groups)

2.2. Morphisms

We can consider a Laumon 1-motive (over K) $M = [u: F \to G]$ as a complex of sheaves in Ab_K concentrated in degree 0, 1. A *morphism* of Laumon 1-motives is a commutative square in the category Ab_K. We denote by $\mathcal{M}_1^a = \mathcal{M}_1^a(K)$ the category of Laumon K-1-motives, i.e. the full sub-category of $C^b(Ab_K)$ whose objects are Laumon 1-motives.

We define the category $\mathcal{M}_{1,\mathbb{Q}}^{a}(K) = \mathcal{M}_{1}^{a}(K) \otimes \mathbb{Q}$ of Laumon 1-motives up to isogenies by replacing the Hom groups with $\operatorname{Hom}_{\mathcal{M}_{1}^{a}(K)}(M, M') \otimes_{\mathbb{Z}} \mathbb{Q}$. The category of Laumon 1-motives up to isogenies is abelian.

The category of Deligne 1-motives over K is the full sub-category $\mathcal{M}_1(K)$ of $\mathcal{M}_1^a(K)$ whose objects are $M = [u: F \to G]$ such that $F^\circ = 0$ and G is semi-abelian (cf. [7, §10.1.2]). We can also define the *up to isogeny* version $\mathcal{M}_{1,\mathbb{Q}}(K) = \mathcal{M}_1(K) \otimes \mathbb{Q}$ which is an abelian subcategory of $\mathcal{M}_{1,\mathbb{Q}}^a(K)$.

2.3. Devissage of Laumon 1-motives

In this section we note \mathcal{M}_1^a for $\mathcal{M}_1^a(K)$.

Remark 2.2. Notation as in the previous section. Given a Laumon 1-motive $M = [u : F \to G]$ there is an exact sequence

$$0 o V o M o M_{ imes} o 0$$

where $M_{\times} = [u_{\times} : F \to G_{\times}]$ is obtained by composition with the canonical projection $G \to G_{\times} = G/V$. Since M_{\times} admits a universal vector extension M_{\times}^{\natural} , the latter class of the previous extension is determined by a map

$$\alpha_M: U(M) \to V \quad U(M) := \operatorname{Ext}^1(M_{\times}, \mathbb{G}_a)^*.$$

Let further $M_{\text{\acute{e}t}} = [u_{\text{\acute{e}t}} : F_{\text{\acute{e}t}} \to G_{\times}]$ be the Deligne 1-motive obtained by restricting u_{\times} to $F_{\text{\acute{e}t}}$

We denote by Map_K the category whose objects are $f : Z_0 \to Z_1$ morphisms of finite dimensional K-vector spaces. There are two exact functors

$$s, t : \operatorname{Map}_K \to \operatorname{Mod}_K, \quad s(f) = Z_0, t(f) = Z_1.$$

Proposition 2.3. Let \mathcal{M}_1^{\times} be the full subcategory of Laumon 1-motives whose object are of the form $M = M_{\times}$.

The association

$$M \to (M_{\times}, \alpha_M)$$

induces an equivalence of categories between the category of Laumon 1-motives and the fiber product category

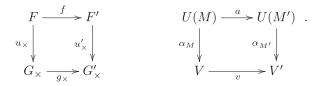
$$\mathcal{M}_1^{\times} \times_{\mathbf{Mod}_K} \mathbf{Map}_K$$

taken we respect to the following diagram

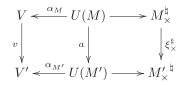
$$\mathcal{M}_1^{\times} \xrightarrow{U} \mathbf{Mod}_K \xleftarrow{s} \mathbf{Map}_K.$$

(See [13, Tag 0030] for details on the fiber product of categories.)

Proof. Faithfulness and essential surjectivity are straightforward. We only need to show that the functor is full. Consider a morphism $\xi_{\times} : (M_{\times}, \alpha_M) \to (M'_{\times}, \alpha_{M'})$, i.e. two diagrams



We have to prove the existence of a map $\xi: M \to M'$ inducing ξ_{\times} . If we denote by M_{\times}^{\natural} the universal vector extension of M_{\times} we have a commutative diagram



inducing via push-out a morphism $\xi: M \to M'$ with the expected properties.

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For $u: F \to G$ we denote du = Lie(u).

Proposition 2.4. The association

 $M_{\times} = [u_{\times}: F \to G_{\times}] \mapsto (M_{\text{\'et}} = [u_{\text{\'et}}: F_{\text{\'et}} \to G_{\times}], du_{\times}: \text{Lie}(F) \to \text{Lie}(G_{\times}))$

induces an equivalence of categories between the category \mathcal{M}_1^{\times} and the fiber product category

 $\mathcal{M}_1 \times_{\mathbf{Mod}_K} \mathbf{Map}_K$

taken with respect to the following diagram

$$\mathcal{M}_1 \xrightarrow{\ell} \mathbf{Mod}_K \xleftarrow{t} \mathbf{Map}_K.$$

where $\ell(M) = Lie(G)$.

Proof. This is an immediate corollary of [3, Proposition 1.5.2].

Remark 2.5. By the previous propositions a Laumon 1-motive M is equivalent to the data $(M_{\acute{e}t}, \alpha_M, du_{\times})$.

By the previous devissage we easily get the following result (already proved in a more direct way in [10])

Corollary 2.6. The category $\mathcal{M}_{1,\mathbb{Q}}^a$, of Laumon 1-motives up to isogeny (over K), is of cohomological dimension 1.

Proof. Given a fiber product of abelian categories $P = X \times_S Y$ (along exact functors) there is a long exact sequence of derived Hom

$$\operatorname{Ext}_{P}^{i} \to \operatorname{Ext}_{X}^{i} \times \operatorname{Ext}_{Y}^{i} \to \operatorname{Ext}_{S}^{i} \xrightarrow{+} \dots$$

We know that Mod_K (resp. Map_K) has cohomological dimension 0 (resp. 1) (see [11, § 2.1]). It follows, by successively using the previous propositions, that for $i \ge 2$

$$\operatorname{Ext}^{i}_{\mathcal{M}^{i}_{1,\mathbb{O}}}(M,N) \cong \operatorname{Ext}^{i}_{\mathcal{M}_{1,\mathbb{O}}}(M_{\operatorname{\acute{e}t}},N_{\operatorname{\acute{e}t}})$$

and the latter is zero by [12, Proposition 3.2.4].

Thus the cohomological dimension is at most 1, and we know that there are non trivial extensions. For instance $\operatorname{Ext}^{1}_{\mathcal{M}^{4}_{1,0}}(\mathbb{Z}[1], \mathbb{G}_{m}) = K^{*} \otimes_{\mathbb{Z}} \mathbb{Q}$.

3. Adding the Hodge filtration

3.1. p-Adic Hodge theory for 1-motives

It is known [9, § 6.3.3] that, given a Deligne 1-motive M over a p-adic field K, its de Rham realization $T_{dR}(M)$ is naturally endowed with an admissible filtered (ϕ, N) -module structure. In the following we are only interested in the case K is the fraction field of W(k) (for k finite of characteristic p) and M has good reduction.

Proposition 3.1. The de Rham realization induces a functor (by abuse of notation we use again T_{dR})

$$T_{\mathrm{dR}}: \mathcal{M}_1^{\mathrm{good}} \to \mathbf{MF}_K^{\mathrm{ad}},$$

where $\mathcal{M}_1^{\text{good}}$ is the category of Deligne 1-motives, over K = W(k)[1/p], having good reduction.

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Proof. We assume that M is the generic fiber of a *lisse* 1-motive over the dvr \mathcal{O}_K (i.e. M is of good reduction). We denote by M_k its special fiber. Then by [2] there is a canonical isomorphism

$$T_{\mathrm{dR}}(M) \cong T_{\mathrm{crys}}(M_k) \otimes K$$

thus $T_{dR}(M)$ carries a Frobenius ϕ (see [1, § 3.2.1], they note it F_{ν}) and a (1-step) filtration, namely

$$\operatorname{Fil}^{i} T_{\mathrm{dR}}(M) = \begin{cases} 0 & i \ge 0\\ \operatorname{ker}(T_{\mathrm{dR}}(M) \to \operatorname{Lie}(G)) & i = 0\\ T_{\mathrm{dR}}(M) & i \le -1 \end{cases}$$

By devissage w.r.t. to the weight filtration¹ we can easily prove that $T_{dR}(M)$ is admissible, since $T_{dR}(-)$ of an abelian variety (with good reduction), of a torus (of constant rank over \mathcal{O}_K) and of its Cartier dual, are all admissible (by [1, Proof of Lemma 3.2.2] and [6]).

3.2. Fontaine-Ogus modules

Let now K be a number field and M be a 1-motive over K. We know that for some $n \gg 0$, M can be considered as a lisse 1-motive over $\mathcal{O}_K[1/n]$ [1, Lemma 3.1.2]. Then, for all finite and unramified places $\nu \not\mid n, T_{dR}(M_{K_{\nu}})$ can be consider as an object of $\mathbf{MF}_{K_{\nu}}^{ad}$ (by the previous section).

This motivates the following definition

Definition 3.2. Let MFOg(K) be the category whose objects are systems (T, Fil^{\bullet}) such that

- $T = (T_{dR}, (T_{\nu})_{\nu}) \in \mathbf{FOg}(K)$. We denote by ϕ_{ν} the Frobenius on T_{ν}
- Fil[•] is a (decreasing, exhaustive) filtration on T_{dR} (called Hodge filtration).
- for almost all ν , $(T_{\nu}, \operatorname{Fil}_{\nu}^{\bullet} = \operatorname{Fil}^{\bullet} \otimes K_{\nu}, \phi_{\nu})$ is an admissible filtered ϕ -module over K_{ν} .

Morphisms of MFOg(K) are morphism of FOg(K) compatible with respect to the "Hodge" filtration.

Proposition 3.3. The category MFOg(K) is abelian.

Proof. It is clear how to define kernels and cokernels. We already know that **FOg** is abelian, hence morphisms are strict with respect to the weight filtration. We have to prove that morphisms are strictly compatible with respect to the Hodge filtration. This follows form the fact that morphisms are strict in $\mathbf{MF}_{K_v}^{\mathrm{ad}}$.

Proposition 3.4. The filtered Ogus realization T_{FOg} factors through MFOg via

$$T_{\mathbf{MFOg}}: \mathcal{M}_1(K) \to \mathbf{MFOg}(K),$$

induced by

$$T_{\mathbf{MFOg}}(M) = \left(T_{\mathbf{FOg}}(M), \operatorname{Fil}^{\bullet} T_{\mathrm{dR}}(M)\right).$$

Moreover T_{MFOg} is fully faithful.

Proof. The de Rham realization respects the Hodge filtration. To get the full faithfulness we just need to note that the forgetful functor

¹Admissibility is a property closed under extensions and T_{dR} of an exact sequence of 1-motives gives and exact sequence of filtered vector spaces.

$$\mathbf{MFOg}(K) \to \mathbf{FOg}(K), (T, \mathrm{Fil}^{\bullet}) \mapsto T,$$

is faithful.

Remark 3.5. In [5] it is proven that the filtered Ogus realization T_{FOg} extends to the category of Voevodsky motives. Also the latter functor T_{MFOg} can be extended to Voevodsky motives. In fact it is straightforward to add the Hodge filtration and it is possible to prove by devissage the required admissibility condition.

4. Extending the realization to Laumon 1-motives

Let us denote simply by $T: \mathcal{M}_1 \to \mathbf{MFOg}$ the realization functor defined in the previous section. We aim to extend this functor to the category \mathcal{M}_1^a of Laumon 1-motives. For this reason we have to introduce another category $\mathbf{MFOg}_{(1)}^a$ containing $\mathbf{MFOg}_{(1)}$ as a full subcategory and such that there exists a functor $T^a: \mathcal{M}_1^a \to \mathbf{MFOg}_{(1)}^a$ extending T.

4.1. The target category

Recall that $\mathbf{FOg}_{(1)}$ is the category of filtered Ogus structure of level ≤ 1 [1, Definition 1.4.4]. Then we can define $\mathbf{MFOg}_{(1)}$ to be the subcategory of \mathbf{MFOg} given by $(T, \mathrm{Fil}^{\bullet})$ such that T is of level ≤ 1 , $\mathrm{Fil}^{1} = 0$ and $\mathrm{Fil}^{-1} = T$.

Definition 4.1. Let $\mathbf{MFOg}_{(1)}^a$ be the category of systems $(T, \operatorname{Fil}^{\bullet}, \alpha : A_0 \to A_1, \beta : B_0 \to B_1, \delta, \gamma)$ where

- $(T, \operatorname{Fil}^{\bullet})$ is in $\operatorname{MFOg}_{(1)}$.
- α , β are objects of Map_K .
- $\delta: B_1 \cong T/\mathrm{Fil}^0$ is an isomorphism.
- $\operatorname{Fil}^0 \subset A_0$ and $\gamma : A_0 \to T$ is a K-linear map.
- The following diagram is cartesian

By abuse of notation we simply write $(T, F^{\bullet}, \alpha, \beta)$ to denote such an object. Morphisms are compatible systems of maps.

Remark 4.2. Note that $MFOg_{(1)}$ is a full subcategory of $MFOg_{(1)}^a$ via

$$(T, \operatorname{Fil}^{\bullet}) \mapsto (T, \operatorname{Fil}^{\bullet}, 0, 0),$$

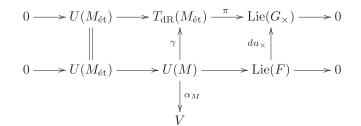
where the first zero map is $\text{Fil}^0 \rightarrow 0$, while the second is $0 \rightarrow T/\text{Fil}^0$.

Remark 4.3. Given a Laumon 1-motive M we have the following splitting

$$0 \longrightarrow \ell(M_{\text{\'et}}) \longrightarrow \ell(M_{\times}^{\natural}) \xrightarrow[du_{\times}^{\text{pr}} \text{Lie}(F) \longrightarrow 0 .$$

Hence $x \mapsto x - (du^{\natural}_{\times} \circ \operatorname{pr})(x)$ gives a map $\ell(M^{\natural}_{\times}) \to \ell(M_{\acute{e}t})$. We denote by γ_M its restriction to $U(M) \subset \ell(M^{\natural}_{\times})$.

Thus we can consider the object of $\mathbf{MFOg}_{(1)}^a$, naturally associated to M, represented by the following diagram



(See [3, § 3.2])

Theorem 4.4. Let $M = [u: F \rightarrow G]$ be a Laumon 1-motive over K. Let

$$T^{a}(M) = (T_{\mathbf{MFOg}}(M_{\mathrm{\acute{e}t}}), \alpha_{M}, du_{\times}, \gamma_{M})$$

be the object of $MFOg^a_{(1)}$ represented by the above diagram. Then T^a induces a fully faithful functor

$$T^a: \mathcal{M}^a_{1,\mathbb{O}} \to \mathbf{MFOg}^a_{(1)}$$

extending T.

Proof. The non obvious part is the fullness of the functor. This follows from the fullness of T_{MFOg} and the equivalences of categories in § 2.3. More precisely, let M, M' be two Laumon 1-motives. Then a morphism $\psi \in \text{Hom}_{MFOg_{1}^{a}}(T^{a}(M), T^{a}(M'))$ is given by

- a morphism $\eta : T(M_{\acute{e}t}) \to T(M'_{\acute{e}t})$ in **MFOg**;
- two K-linear maps $a: U(M) \to U(M'), v: V \to V'$ such that $v \circ \alpha_M = \alpha_{M'} \circ a;$
- two K-linear maps $b : \text{Lie } F \to \text{Lie } F', c : \text{Lie}(G_{\times}) \to \text{Lie}(G'_{\times})$ such that $c \circ du_{\times} = du'_{\times} \circ b$

satisfying the obvious compatibility conditions.

By Proposition 3.4 there exists $\xi_{\acute{e}t} = (f_{\acute{e}t}, g_{\times}) : M_{\acute{e}t} \to M'_{\acute{e}t}$ (morphism of Deligne 1-motives up to isogeny) such that $\eta = T(\xi_{\acute{e}t})$. Note that by compatibility $dg_{\times} = c$ and by Proposition 2.4 the data of ξ, b, c uniquely determines a morphism $\xi_{\times} : M_{\times} \to M'_{\times}$. To conclude we use Proposition 2.3 since *a* is completely determined by *b* and η .

Remark 4.5. (Comparison with sharp de Rham) Consider the following functor

$$S: \mathbf{MFOg}^a_{(1)} \to \mathbf{Mod}_K, (T, \mathrm{Fil}, \alpha, \beta) \mapsto \left(T imes^{\mathrm{Fil}^0} A_0\right) imes A_1$$

where $T \times {}^{\text{Fil}^0}A_0$ is the push-out. Then it is easy to check that $T_{\sharp}(M) = S(T^a(M))$, where T_{\sharp} : $\mathcal{M}_{1,\mathbb{O}}^a \to \text{Mod}_K$ is the sharp de Rham realization [3, § 3.2].

Acknowledgements

The author is grateful to Alessandra Bertapelle for all the support and the mathematical insights.

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